

Atty. Docket No. 940630-010-018

First Inventor or Application Identifier

UTILITY

PATENT APPLICATION

TRANSMITTAL

Title: Evans, et al.

A METHOD AND APPARATUS FOR
INFORMATION MINING AND FILTERING

Express Mail Label No.: EJ844955272US

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231



FILING UNDER 37 CFR §1.53(b)

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
2. ☒ Specification [Total Pages 29]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 11]
4. Oath or Declaration [Total Pages 3]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application.
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449
 - ☐ Copies of IDS Citations ☒ Copies of IDS Citations in prior application file
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☐ *Small Entity Statement(s) (PTO/SB/09-12) ☐ Statement filed in prior application,
Status Still Proper and Desired
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)



09544121, 040600

THE COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Case Docket 940630-010-018
Date: April 6, 2000

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor(s): Evans, et al.

For: A METHOD AND APPARATUS FOR INFORMATION MINING AND FILTERING

Enclosed are:

☒ 11 sheets of informal drawing(s).

☐ A certified copy of a _____ application.

☒ Declaration and Power of Attorney.

☐ PTO Form 1449 with attached references and transmittal letter.

The filing fee has been calculated as shown below:

For:	No. Filed	No. Extra	Rate	Fee
Basic Fee				\$690.00
Total Claims	33 - 20 =	x 13	x 18 =	\$234.00
Indep Claims	3 - 3 =	x 0	x 78 =	\$ 0
<input type="checkbox"/> Multiple Dependent Claim Presented			+ 230 =	\$ 0
			TOTAL	\$ 924.00

☒ A check in the amount of \$924.00 to cover the filing fee is enclosed.

☐ Please charge my Deposit Account No. 09-0468 in the amount of \$ _____. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0468.

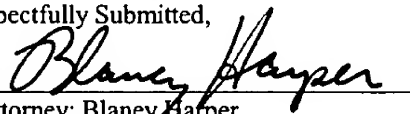
A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully Submitted,

By



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15. ☐ Other:

16. If a **Continuing Application**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

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I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issues thereon.

April 6, 2000

Date

By

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Evans, et al.

Serial No.: Not Assigned

Group Art Unit: Not Assigned

Filed: Herewith

Examiner: Not Assigned

For: A METHOD AND APPARATUS FOR INFORMATION MINING AND
FILTERING

Commissioner of Patents and Trademarks
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number: EJ844955272US


Date of Deposit: April 6, 2000

I hereby certify that the following **attached** paper or fee

- Patent Application Transmittal Letter
- Patent Application Specification
- Combined Declaration and Power of Attorney
- Patent Application Fee

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Box Patent Application, Washington, D.C. 20231.

Blaney Harper
(Name of person mailing paper or fee)


(Signature of person mailing paper or fee)
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